

Patent Docket P3430R1C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Baker et al.

Serial No.: 10/052,586

Filed: January 15, 2002

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

OPERTY RIGHTS STATEMENT.

UNDER 42 U.S.C. §§ 2182 and 2457

RECEIVED TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA

Assistant Commissioner of Patents ATTN: Licensing and Review Washington, D.C. 20231

Sir:

submitted herewith.

1004 MADE FROM 10/052,586 FOX ON 1/21/08

Applicants submit herewith a fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent

-1-

application as shown in Appendix A which is appended to the fully executed property rights statement

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

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PATENT TRADEMARK OFFICE

Patent Docket P3430R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: Not yet assigned

Baker et al.

Examiner: Not yet assigned

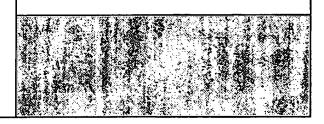
Serial No.: 10/052,586

Filed: January 15, 2002

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same



PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) - INVENTORS EMPLOYED BY AN ORGANIZATION

Assistant Commissioner of Patents ATTN: Licensing and Review Washington, D.C. 20231

Sir:



We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

- 1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 10/052,586 filed in the United States of America on January 15, 2002 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");
- 2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

Full name of first inventor

- 3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;
- 4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;
- 5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;
- 6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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- 3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;
- 4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;
- 5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;
- 6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Application No.	Application Date	File No
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Application No.	Application Date	File No
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10/194424	12Jul2002	P3430R1C314
10/194359	12Jul2002	P3430R1C315
10/195886	15Jul2002	P3430R1C316
10/195897	15Jul2002	P3430R1C317
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10/193691	18Jun2002	P3430R1C32
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10/195899	15Jul2002	P3430R1C323
10/195883	15Jul2002	P3430R1C324

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10/195889	15Jul2002	P3430R1C329
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10/195887	15Jul2002	P3430R1C330
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10/196762	16Jul2002	P3430R1C336
10/196753	16Jul2002	P3430R1C337
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10/200617	18Jul2002	P3430R1C380
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10/199463	18Jul2002	P3430R1C389
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10/201533	22Jul2002	P3430R1C430
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10/205892	26Jul2002	P3430R1C517
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